

# IRA Beneficiary Addition/Change Form

For Traditional, Roth, SEP, and SIMPLE IRAs

**Regular Mail:**  
U.S. Bank Global Fund Services  
PO Box 701  
Milwaukee, WI 53201-0701

**Overnight Delivery:**  
U.S. Bank Global Fund Services  
615 E. Michigan St., FL3  
Milwaukee, WI 53202-5207

**IMPORTANT NOTICE:** This designation will not be in force unless it is signed and received by the custodian, at one of the addresses above, before the death of the IRA Grantor (shareholder). The terms, provisions, and limitations of the IRA Plan and Custodial Agreement, as amended from time to time, are controlling at all times and govern the rights of the Grantor and any beneficiaries. The Custodial Agreement is available upon request by calling the toll-free number on your statement.

Unless otherwise noted, payments upon death will be made to the primary beneficiary(ies) first. The secondary beneficiary(ies) inherit only if all primary beneficiaries are deceased or disclaim their inheritance.

Please complete all sections as appropriate, including the name of the fund you own. Sign and return the form to one of the addresses above.

## 1 Investor Information

|                         |                      |                        |                      |
|-------------------------|----------------------|------------------------|----------------------|
| <input type="text"/>    |                      | <input type="text"/>   |                      |
| FULL NAME               |                      | SOCIAL SECURITY NUMBER |                      |
| <input type="text"/>    | <input type="text"/> | <input type="text"/>   | <input type="text"/> |
| MUTUAL FUND FAMILY NAME | ACCOUNT NUMBER(S)    |                        |                      |

## 2 Beneficiary Designation

All beneficiaries must be named, as the Custodian cannot properly determine beneficiaries such as "children" or "spouse".

I hereby revoke all prior designations of beneficiary(ies) and designate the following as my beneficiary(ies) of my Retirement Account(s) (IRA) upon my death:

**Primary** (If you need more space, please continue on the back of the form.)

|                      |                      |                      |                        |                      |                      |
|----------------------|----------------------|----------------------|------------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/>   | <input type="text"/> | <input type="text"/> |
| NAME                 | RELATIONSHIP         | CITY/STATE/ZIP       | SOCIAL SECURITY NUMBER | DATE OF BIRTH        | %                    |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/>   | <input type="text"/> | <input type="text"/> |
| NAME                 | RELATIONSHIP         | CITY/STATE/ZIP       | SOCIAL SECURITY NUMBER | DATE OF BIRTH        | %                    |

**Secondary** (If you need more space, please continue on the back of the form.)

|                      |                      |                      |                        |                      |                      |
|----------------------|----------------------|----------------------|------------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/>   | <input type="text"/> | <input type="text"/> |
| NAME                 | RELATIONSHIP         | CITY/STATE/ZIP       | SOCIAL SECURITY NUMBER | DATE OF BIRTH        | %                    |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/>   | <input type="text"/> | <input type="text"/> |
| NAME                 | RELATIONSHIP         | CITY/STATE/ZIP       | SOCIAL SECURITY NUMBER | DATE OF BIRTH        | %                    |

Spousal Consent: If you name someone other than or in addition to your spouse as primary beneficiary and reside in a community or marital property state, including AZ, CA, ID, LA, NV, NM, TX, WA, and WI, your spouse must consent by signing below.

**\*Per Stirpes**

\* If Per Stirpes is designated above, please contact the Transfer Agent to discuss Per Stirpes beneficiary designation requirements at 1-800-304-7404. Per Stirpes beneficiary designation language on your account must be reviewed and approved by the fund's Transfer Agent in its sole discretion prior to becoming effective. In addition, the Transfer Agent will require the completion and execution of its heir finder/identification form, designating the person responsible for determining the ultimate beneficiaries of the account, to its satisfaction.

|                                     |                      |
|-------------------------------------|----------------------|
| <input checked="" type="checkbox"/> | <input type="text"/> |
| SIGNATURE OF SPOUSE                 | DATE                 |

## 3 Signature

I have read and understand the Disclosure Statement and Custodial Account Agreement. If the Grantor is a minor under the laws of the Grantor's state of residence, a parent or guardian must sign this form (i.e. "Sally Doe, parent of Jane Doe"). Until the Grantor reaches the age of majority, the parent or guardian will exercise the duties of the Grantor. (If not a parent, the guardian must provide a copy of the letters of appointment.)

|                                     |                      |
|-------------------------------------|----------------------|
| <input checked="" type="checkbox"/> | <input type="text"/> |
| GRANTOR / SHAREHOLDER SIGNATURE     | DATE (MM/DD/YYYY)    |